

FEDERAL DEPOSIT INSURANCE CORPORATION
WASHINGTON, DC 20006

FORM 10-Q/A

**QUARTERLY REPORT PURSUANT TO SECTION 13 OR 15(d) OF
THE SECURITIES EXCHANGE ACT OF 1934**

For the quarterly period ended June 30, 2018
OR

**TRANSITION REPORT PURSUANT TO SECTION 13 OR 15(d) OF THE
SECURITIES EXCHANGE ACT OF 1934**

For transition period from _____ to _____

FDIC Certificate Number: 622



(Exact name of Registrant as specified in its charter)

New York

(State or other jurisdiction
of incorporation or organization)

13-4920330

(I.R.S. Employer Identification Number)

275 Seventh Avenue, New York, NY 10001
(Address of principal executive offices) (Zip Code)

(212) 255-6200
(Registrant's telephone number, including area code)

Indicate by check mark whether the Registrant (1) has filed all reports required to be filed by Section 13 or 15 (d) of the Securities Exchange Act of 1934 during the preceding 12 months (or for such shorter period that the Registrant was required to file such reports), and (2) has been subject to such filing requirements for the past 90 days.

Yes No

Indicate by check mark whether the registrant has submitted electronically every Interactive Data File required to be submitted pursuant to Rule 405 of Regulation S-T during the preceding 12 months (or for such shorter period that the registrant was required to submit such files). Yes No

Indicate by check mark whether the registrant is a large accelerated filer, an accelerated filer, a non-accelerated filer, smaller reporting company, or an emerging growth company. See the definitions of "large accelerated filer," "accelerated filer," "smaller reporting company," and "emerging growth company" in Rule 12b-2 of the Exchange Act.

Large accelerated filer Accelerated filer
Non-accelerated filer Smaller reporting company Emerging growth company

If an emerging growth company, indicate by check mark if the registrant has elected not to use the extended transition period for complying with any new or revised financial accounting standards provided pursuant to Section 13(a) of the Exchange Act.
Indicate by check mark whether the registrant is a shell company (as defined in Exchange Act Rule 12b-2).

Yes No

As of September 24, 2018, the Registrant had 31,771,584 shares of Class A common stock outstanding at \$0.01 par value per share.

EXPLANATORY NOTE

This Amendment No. 1 to the Form 10-Q (this “Amendment”) amends the Quarterly Report on Form 10-Q of Amalgamated Bank for the period ended June 30, 2018 filed with the Federal Deposit Insurance Corporation on September 23, 2018 (the “Form 10-Q”) for the sole purpose of filing Exhibit 10.1, which was inadvertently omitted from the Form 10-Q. In addition, as required by Rule 12b-15 under the Securities Exchange Act of 1934, as amended, new certifications by our principal executive officer and principal financial officer are filed as exhibits to this Amendment.

No other changes have been made to the Form 10-Q. This Amendment does not reflect events that may have occurred subsequent to the original filing date, and does not modify or update in any way the disclosures made in the original Form 10-Q.

Item 6. Exhibits.

<u>Exhibit No.</u>	<u>Description of Exhibit</u>
10.1	Amendment to the Collective Bargaining Agreement dated July 1, 2015, between Amalgamated Bank and the Office & Professional Employees International Union, Local 153, AFL CIO
31.1	Rule 13a-14(a) Certification of the Chief Executive Officer
31.2	Rule 13a-14(a) Certification of the Chief Financial Officer
32.1	Section 1350 Certifications

SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned thereunto duly authorized.

AMALGAMATED BANK

November 12, 2018

By: /s/ Keith Mestrich
Keith Mestrich
President and Chief Executive Officer
(*Principal Executive Officer*)

November 12, 2018

By: /s/ Andrew Labenne
Andrew Labenne
Chief Financial Officer
(*Principal Financial Officer*)

November 12, 2018

By: /s/ Jason Darby
Jason Darby
Chief Accounting Officer
(*Principal Accounting Officer*)

Exhibit 10.1

Amendment to the Collective Bargaining Agreement

Exhibit 31.1

Rule 13a-14(a) Certification of the Chief Executive Officer

I, Keith Mestrich, certify that:

1. I have reviewed this quarterly report on Form 10-Q/A of Amalgamated Bank
2. Based on my knowledge, this report does not contain any untrue statement of a material fact or omit to state a material fact necessary to make the statements made, in light of the circumstances under which such statements were made, not misleading with respect to the period covered by this report;
3. [Omitted pursuant to Exchange Act Rule Compliance and Disclosure Interpretation Question 161.01 [September 30,2008]];
4. [Omitted pursuant to Exchange Act Rule Compliance and Disclosure Interpretation Question 161.01 [September 30,2008]]; and
5. [Omitted pursuant to Exchange Act Rule Compliance and Disclosure Interpretation Question 161.01 [September 30,2008]].

Date: November 12, 2018

/s/ Keith Mestrich
Keith Mestrich, President and Chief
Executive Officer

Exhibit 31.2

Rule 13a-14(a) Certification of the Chief Financial Officer

I, Andrew Labenne, certify that:

1. I have reviewed this quarterly report on Form 10-Q/A of Amalgamated Bank.
2. Based on my knowledge, this report does not contain any untrue statement of a material fact or omit to state a material fact necessary to make the statements made, in light of the circumstances under which such statements were made, not misleading with respect to the period covered by this report;
3. [Omitted pursuant to Exchange Act Rule Compliance and Disclosure Interpretation Question 161.01 [September 30,2008]];
4. [Omitted pursuant to Exchange Act Rule Compliance and Disclosure Interpretation Question 161.01 [September 30,2008]]; and
5. [Omitted pursuant to Exchange Act Rule Compliance and Disclosure Interpretation Question 161.01 [September 30,2008]].

Date: November 12, 2018

/s/ Andrew Labenne
Andrew Labenne, Chief Financial Officer

Exhibit 32.1

**CERTIFICATION PURSUANT TO 18 U.S.C. SECTION 1350,
AS ADOPTED PURSUANT TO
SECTION 906 OF THE SARBANES-OXLEY ACT OF 2002**

In connection with Amendment No. 1 to the Quarterly Report of Amalgamated Bank (the “Bank”) on Form 10-Q/A for the period ended June 30, 2018 as filed with the Federal Deposit Insurance Corporation on the date hereof (the “Report”), the undersigned, the Chief Executive Officer and the Chief Financial Officer of the Bank, each certify, pursuant to 18 U.S.C. Section 1350, as adopted pursuant to Section 906 of the Sarbanes-Oxley Act of 2002 that, to his knowledge:

1. The Report fully complies with the requirements of Section 13(a) or 15(d) of the Securities Exchange Act of 1934; and
2. The information contained in the Report fairly presents, in all material respects, the financial condition and results of operations of the Bank.

/s/ Keith Mestrich
Keith Mestrich
President and Chief Executive Officer
November 12, 2018

/s/ Andrew Labenne
Andrew Labenne
Chief Financial Officer
November 12, 2018